

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

BOX PCT

COMPLETION
For PCT Cases Only

In re PATENT APPLICATION of

Inventor(s): Double et al.

Attn: Application Division

Appl. No.: 10 049,610
 Series Code ↑ Serial No. ↑

Atty. Dkt. P 0284989 C295.03/I
 M# Client Ref

National Phase

Based on PCT GB00 02794
 ↑ Country Code & Year

Title: Thermally Transferable Polyester Image-Protecting Layer

Date: June 6, 2002

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents

Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ Translation of the International Application into English including:
 - a. ☐ Request;
 - b. ☐ Abstract
 - c. ☐ pgs. Spec. and Claims;
 - d. ☐ Translation verification
 - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of International Search Report (ISR) attached (☐ page(s))
 - a. ☐ plus Annex of family members (☐ page(s))
5. Information Disclosure Statement including
 - a. ☐ From PTO-1449 listing documents
 - b. ☐ Copies of document(s) listed on Form PTO-1449
 - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ Assignment and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. ☐ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: ☐ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☒ Attached: Supplemental Preliminary Amendment12. ☐ Preliminary Amendment:13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 2515. **CLAIMS FEES** ☒ previously paid ☐ paid herewith as follows:15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

			Large/Small Entity		Fee Cod
16. Total Effective Claims		minus 20 =	x \$18/\$9	+0	966/967
17. Independent Claims		minus 3 =	x \$84/\$42	+0	964/965
18. If any proper multiple dependent claim (ignore improper) is present,			\$280/\$140	+0	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now			\$130/\$65	+130	154/254
20. SUBTOTAL				\$130	
21. Original due date: June 23, 2002					
22. Petition is hereby made to extend the original due date to			(1 mo) \$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee			(2mos) \$400/\$200 =		116/216
is attached			(3mos) \$920/\$460 =		117/217
			(4mos) \$1,440/\$720 =		118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee			\$130	+0	156
24. If "assignment" box 6 is X'd, add recording fee.			\$40	+40	581
25. TOTAL FEE =				\$170	

PLEASE CHARGE DEPOSIT ACCOUNT
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CHARGE Deposit Account No. 03-3975

Our Order No. 041301	0284989
C#	M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

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 McLean, VA 22102
 Tel: (703) 905-2000

By Atty: Richard A. SteinbergReg. No. 26,588Sig: Richard A. Steinberg

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RAS/kmh

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

5/11/2002 GFREY1 00000088 033975 10049610
 1 FC:154 130.00 CH



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/049,610	Julie Caroline Double	P 0284989

INTERNATIONAL APPLICATION NO.
PCT/GB00/02794

INT'L FILING DATE	PRIORITY DATE
07/20/2000	08/14/1999

00909
PILLSBURY WINTHROP, LLP
P.O. BOX 10500
MCLEAN, VA 22102

CONFIRMATION NO. 4555

371 FORMALITIES LETTER



OC000000007922317

Date Mailed: 04/23/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

RECEIVED
PILLSBURY WINTHROP LLP/VA

APR 26 2002

CL 41301 MT# 284989
ATTY(S) PLS RAS
DUE: 6-23-02
DKT BY (1) Jan (2)

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/049,610	PCT/GB00/02794	P 0284989